
1 **An Integrated Visualization Framework to Support Whole-Process Management**
2 **of Water Pipeline Safety**

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8
9 **Abstract**

10 Timely assessment of structural conditions of water diversion pipelines and taking
11 necessary precautions are essential to ensure the operational safety of large water
12 diversion structures. This paper presents an integrated visualization framework to
13 support the safety management of water diversion pipelines. This holistic framework
14 streamlines data collection, data analysis, warning issuance, and decision-making
15 support in an integrated platform, which improves the automation level of safety
16 management and the efficiency of emergency response. A system prototype was
17 developed based on the proposed framework and implemented in a water supply
18 project in Tianjin, China. The system prototype can automatically assess the structural
19 condition of water diversion pipelines and issue corresponding warnings to relevant

20 professionals, and provide visual cues and a set of useful functions to support
21 decision-making. This system prototype and its implementation validate the
22 applicability and efficacy of the proposed framework.

23

24 *Keywords:* Water diversion projects; Structural condition assessment; Safety
25 management; Whole-process management; Visualization.

26

27 **1. Introduction**

28 To counter the threats associated with the uneven distribution of water resources,
29 China has launched a number of water diversion projects such as the South-to-North
30 Water Diversion Project to alleviate severe water shortages in certain areas [1]. The
31 South-to-North Water Diversion Project has three routes in the Eastern, Central, and
32 Western China that respectively divert water from the lower, middle, and upper
33 reaches of the Yangtze River. This long-distance and inter-basin water diversion
34 project also connects four major rivers in China: Yangtze River, Huai River, Yellow
35 River, and Hai River. These water diversion projects have improved urban water
36 supply and water quality, thereby ensured the well-being of the people, the vitality of
37 the economy, and the prosperity of the society.

38
39 Structural damages to the water diversion pipelines can result in disastrous
40 humanitarian, social, economic, and ecological consequences. Therefore, it is
41 essential to assess the structural conditions of the pipelines in a timely manner and
42 take immediate actions to handle emergency situations. Instruments have been
43 developed to monitor the structural conditions of water diversion pipelines [2-4], but
44 the management information system (MIS) and safety management practice are still
45 insufficient to realize automatic condition assessment and timely emergency response.

46
47 There are two main limitations in the current practice. First, the manual processing of
48 monitoring data and the lack of visual cues make the identification of abnormalities in
49 pipelines time-consuming, which hinders the decision-makings in the event of an
50 emergency. Second, the data collection, data analysis, warning issuance, and decision

51 support have not been seamlessly integrated in the safety management process, and
52 the functions in existing MIS are not comprehensive to fulfill the whole-process
53 management of pipeline safety. Such isolated management process and limited system
54 functions will result in potential safety issues not being identified and emergency
55 responses being delayed. To address these two challenges, an integrated visualization
56 framework is proposed in this study to support the whole-process management of
57 structural safety for water diversion projects.

58

59 **2. Limitations in Current Practice**

60 This section reports the limitations in the current practice of pipeline safety
61 management. From the technical perspective, the first limitation is the manual
62 processing of a large amount of monitoring data. The advancements of sensing
63 technologies and mobile communication networks [5-7] have made data collection
64 automated and rapid, generating a large amount of monitoring data. Manually
65 processing the data is inefficient and time-consuming, and thus is incapable of
66 achieving automated condition assessment and timely emergency response. The
67 second limitation is the lack of a geo-referenced visual environment and
68 comprehensive analysis tools in the existing MIS [8, 9] to support decision-makings.
69 Most often, the monitoring data are not directly coupled with geographic coordinates,
70 thus, decision-makers have to refer to non-intuitive design drawings to locate
71 abnormalities and analyze in-situ environments. In addition, in the absence of
72 scientific analysis, engineers solely rely on their experiences to make decisions in
73 emergency situations.

74

75 From the organizational and managerial perspective, the first limitation is that the
76 critical tasks in pipeline safety management, i.e. data collection, data analysis,
77 warning issuance, and decision support, have not been seamlessly integrated. This
78 incoherent management process may weaken the competent department's ability to
79 identify a potential safety hazard and significantly delay the response action. Studies
80 have been conducted on data analysis and decision support in pipeline safety
81 management. Examples include safety diagnosis of hydraulic structures based on data
82 mining [10, 11], risk assessment for water pipelines [12, 13], mobile computing
83 technologies for safety inspection [14], and failure mode of pre-stressed concrete pipe
84 [15-17]. However, the existing studies mainly focused on the development of a single
85 function for a single task in the safety management. None of them have created a
86 holistic frameowrk to streamline the whole safety management process of water
87 diversion projects.

88

89 **3. Review of Existing Techniques**

90 *3.1. Analysis of monitoring data based on data mining*

91 Data mining techniques have been used for analyzing safety monitoring data in
92 hydraulic engineering [10, 11], building construction [18, 19], and aerospace
93 engineering [20, 21]. To enable intelligent and automatic structure safety analysis, the
94 integration of data mining and cloud computing was explored in [22, 23]. However,
95 the existing technologies are not readily applicable in water diversion projects. In the
96 current practice, the process of data collection, data analysis and warning issuance
97 have not been automated and streamlined. For example, X is a water diversion project
98 located in Zhejiang Province, China. Although the project has adopted a safety

99 monitoring system that uses a general packet radio service (GPRS) cellular network to
100 obtain monitoring data remotely and automatically, the subsequent data analysis is
101 performed in a manual and off-line way. As such, it is very difficult to frequently
102 analyze the monitoring data. Hence, abnormalities may not be identified in a timely
103 manner, posing significant risks to the water diversion pipelines. In addition, due to
104 the lack of a warning issuance mechanism, this system cannot inform engineers and
105 professionals of abnormalities and emergencies.

106

107 *3.2. Visualization based on GIS and street view*

108 Geographic information system (GIS) has been used to visualize information and
109 support decision-making. For instance, different colors were used to represent the risk
110 degrees of pipeline in GIS environment, and aerial photos were overlaid to improve
111 the visualization [13, 24, 25]. Coffey et al. [26] used GIS to enhance the pipeline
112 management and analysis. Liu and Issa [27] integrated three-dimensional (3D)
113 building information modeling and two-dimensional (2D) GIS to realize 3D
114 visualization of underground pipeline systems. Wu et al. [28] applied 3D GIS in dam
115 safety monitoring and developed a visualized management information system. In
116 addition, Google street views were also used to assess large-scale vegetation [29],
117 environmental contributions to pedestrian injury [30], and species habitat [31].

118

119 The integration of 3D GIS and street view in structure safety management can
120 improve management efficiency and provides intuitive visual cues for
121 decision-makings. The fusion of safety monitoring data and geographic information
122 allows users to locate the potential abnormalities in the 3D scenes that are archived in

123 the GIS platform. Moreover, after an emergency occurs, engineers can utilize the 3D
124 GIS and street views to analyze the in-situ environment online (e.g. to check
125 surrounding topography, available transportation routes, and manhole locations),
126 which can assist the plan of emergency responses. However, the previous studies have
127 not explored the integration of 3D GIS and street view in water diversion projects.

128

129 *3.3. Numerical simulation*

130 Numerical simulation has been widely applied in water diversion projects. Oh et al.
131 [32] used numerical method to investigate the discharge performance of sluice
132 passageway. Chen et al. [33] conducted numerical simulation to analyze the damage
133 mode of concrete gravity dam under close-in explosion. In [15-17], finite-element
134 software was used to study the failure mode and rehabilitation method of pre-stressed
135 concrete cylinder pipe (PCCP).

136

137 Numerical simulation is a powerful tool to support decision-makings, since it can
138 simulate mechanical responses of structure under various working conditions without
139 carrying out physical experiments. However, due to the complicate operation process,
140 it is difficult to directly incorporate the numerical simulation into the safety
141 management process. In order to fully support decision-makings in water diversion
142 projects, secondary development is necessary for the numerical simulation tool; and
143 the developed product should be included as an integral part of the management
144 information system.

145

146 **4. Framework for Whole-Process Management of Water Pipeline Safety**

147 In this study, 3D GIS, street view, data mining, and numerical simulation are
148 integrated to streamline the data collection, data analysis, warning issuance, and
149 decision support in a holistic framework for the safety management of water diversion
150 pipelines.

151

152 *4.1. Procedure of whole-process safety management*

153 The entire process of safety management consists of four steps, i.e. data collection,
154 data analysis, warning issuance, and decision-making support. Shown in Figure 1, the
155 proposed safety management procedure emphasizes the automation of safety
156 monitoring and assessment as well as the integration of automated operation with
157 human intervention.

158 (1) Automatic collection of monitoring data

159 The collected data have two sources: 1) monitoring data, such as water pressure,
160 deformation, and crack, remotely and periodically collected by the automatic
161 monitoring system; 2) settlement data and photos collected during on-site inspection.

162 (2) Online analysis and safety assessment

163 The collected data are automatically analyzed at a predetermined time interval (e.g.
164 once a day) to detect potential abnormalities based on methods such as trend
165 recognition and neural network model. An evaluation system is developed to
166 determine the risk level of the structure based on the analysis of monitoring data.

167 (3) Real-time warning issuance

168 When the risk of a pipeline segment reaches a certain level, real-time warnings will be
169 automatically issued by phone messages and emails to ensure that engineers and
170 professionals can receive the warnings in a timely manner.

171 (4) Decision-making support

172 In the event of an emergency, engineers and professionals will conduct a
173 comprehensive safety assessment and make reaction and contingency plan. In this
174 stage, computer software should be fully utilized to support decision-makings. For
175 example, GIS and numerical simulation can be used to analyze the surrounding
176 environment of abnormalities and determine the optimal water supply plan under
177 adverse conditions.

178

179 *4.2. Framework architecture*

180 As shown in Figure 2, a holistic framework is proposed to support the whole-process
181 management of structure safety for water diversion projects. The proposed framework
182 consists of four systems, i.e. safety data acquisition system (SDAS), safety analysis
183 and assessment system (S2AS), simulation and warning system (SAWS), and 3D
184 visualized management system (3DMS). SDAS, corresponding to the data acquisition
185 stage of the safety management process, consists of the automatic safety monitoring
186 module and the personal digital assistant (PDA) in-situ inspection module; S2AS
187 corresponds to the data analysis stage; SAWS consists of the warning issuance
188 module and the numerical simulation module, respectively corresponding to the
189 warning issuance stage and the decision support stage; 3DMS enables data query and
190 data management in normal operation, and its geo-reference and visualization
191 capability can be used to support decision-makings after an emergency occurs.

192

193 In Figure 2, the green arrow lines represent the data flow during the period of
194 automatic operation. SDAS integrates multi-source safety monitoring data with

195 different formats into one uniform database and provides data access interface to the
196 other three systems. S2AS periodically and automatically analyzes the data collected
197 by SDAS, in which data mining techniques are used to recognize abnormalities and
198 evaluate structure safety. If the risk levels reach certain thresholds, the abnormalities
199 will be sent to the warning issuance module of SAWS, which will then inform the
200 competent department via phone messages and emails. In addition, the warning
201 messages will also be issued to 3DMS to help engineers to locate the abnormalities in
202 3D environment.

203

204 Upon the receipt of warning messages, engineers and professionals will intervene
205 (data flow represented by the red arrow lines in Figure 2). In this stage, the proposed
206 framework can support decision-making from two aspects. First, the numerical
207 simulation module provides scientific analysis for decision makers to adjust water
208 supply plan under emergency. This module maps the load information reflected by the
209 monitoring data to an established finite element (FE) model, and considers the
210 time-varying effects of material mechanical properties. As such, realistic simulation
211 can be conducted to assess the structure safety under different supply flows. Second,
212 3DMS can help engineers to locate the potential safety issues by positioning abnormal
213 data points. In addition, the system combines street views and 3D GIS to enable vivid
214 visualization of in-situ environment of abnormal locations to provide decision makers
215 detailed insights.

216

217 **5. System Prototype Development**

218 To validate the applicability of the proposed framework, a system prototype was
219 developed and implemented on a water supply project in Tianjin, China. This project
220 is part of the auxiliary project in the middle route of China's South-to-North Water
221 Diversion Project. The main structures under consideration are pre-stressed concrete
222 cylinder pipes (PCCP) and steel pipes. This section elaborates the development and
223 implementation of the system prototype.

224

225 *5.1. SDAS*

226 The system uses the hardware and software developed by Geokon® [9] to
227 automatically and remotely collect safety monitoring data (e.g. internal/external water
228 pressure, deformation and crack). Data is collected at a user-defined time interval (e.g.
229 once a day) by using the data management software installed on a server [34]. The
230 collected data is transmitted to the ACCESS database on the server through GPRS,
231 3G or 4G networks. In addition, the settlement data are manually collected by leveling
232 surveying. Figure 3 illustrates how SDAS integrates the above data. The database
233 server carries two database platforms: ACCESS and SQL Server. ACCESS is the
234 designated software of Geokon® automatic monitoring system; and SQL Server is
235 used by the four subsystems in the prototype. The main source of monitoring data for
236 safety analysis is the data collected by the Geokon® system, thus it should be
237 seamlessly integrated into the SQL Server. To this end, an interface program is
238 developed to obtain the updated data from the ACCESS database at the predetermined
239 interval (e.g. once a day).

240

241 PDA in-situ inspection module was designed to upload settlement data collected by
242 leveling surveying and geo-registered photos captured during inspection. In case of
243 poor mobile communication signal, the monitoring data and field photos will be
244 stored in the device and will be re-uploaded when the internet signal is recovered. The
245 module was developed based on the Eclipse platform and the operation environment
246 is Android.

247

248 5.2. S2AS

249 S2AS aims to recognize abnormalities by analyzing the massive monitoring data
250 using data mining techniques such as statistics analysis and neural network, and to
251 assess the risk level of structure safety based on the detected abnormalities. Trend
252 recognition, extreme value recognition, neural network model, and monitoring index
253 assessment are used to recognize abnormalities.

254

255 (1) The trend recognition method identifies abnormalities by comparing the current
256 data trend with the overall trend and examining to what extent the current trend
257 matches the overall trend. The data trend can be defined by the notion of
258 “succession”. The elements in a continuous data series $\{y_n\}$ can be categorized
259 into two groups by the mean value (\bar{y}) of the series: those greater than \bar{y} are
260 defined as “positive” while those less than \bar{y} are defined as “negative”. Then the
261 successive elements with the same plus-minus sign constitute a succession. The
262 number of the elements in a succession should be no less than m , of which the
263 value is determined by the sampling frequency. The successions with positive

264 elements are called “positive succession” while those with negative elements are
265 called “negative succession”.

266

267 a) Current data trend

268 If the last succession of a monitoring series is positive, the series currently has an
269 upward trend; if it is a negative succession, the series currently has a downward trend.

270

271 b) Overall data trend

272 In a monitoring series, if the number of the positive successions is greater than that of
273 the negative successions, the series has an overall upward trend; if the number of the
274 positive successions is less than that of the negative successions, the series has a
275 downward trend.

276

277 c) Trend recognition

278 If the current data trend contradicts the overall data trend, the present monitoring data
279 are judged as abnormal; otherwise, the present monitoring data are normal.

280

281 (2) The extreme value recognition method identifies abnormalities based on the
282 comparison of present data and the extreme values in the history. When the value
283 of present monitoring data is greater (or less) than the maximum (or minimum)
284 value in the history, the present value can be judged as abnormal.

285

286 (3) The neural network model method identifies abnormalities by comparing the
287 measured value with the predicted value and examining to what extent these two

288 values can match. To predict the future monitoring data, neural network models
289 are established using the monitoring time series, which can be described by Eq.
290 (1).

$$291 \quad y(t) = f(y(t-1), \dots, y(t-d), x_1(t), \dots, x_n(t)) \quad \text{Eq.(1)}$$

292 Where, d is the number of delays, which determines the number of historical data
293 points used in the model; y is the monitoring index; t is the sampling time; x_1, \dots, x_n
294 are the effective factors. In terms of monitoring index such as crack and strain, the
295 effective factors include internal water pressure, external water pressure, and
296 settlement. By changing the number of neurons of the hidden layer, the number of
297 delays, and the transfer function, the artificial neural network (ANN) model is
298 optimized to achieve the required precision.

299

300 After a suitable model is obtained, the abnormal data can be identified with the
301 following method (as described by Eq. (2)):

$$302 \quad \begin{cases} |y_i - \hat{y}_i| \leq bS, & \text{normal;} \\ |y_i - \hat{y}_i| > bS, & \text{abnormal.} \end{cases} \quad \text{Eq. (2)}$$

303 Where, y_i is the measured value while \hat{y}_i is the predictive value by the model; S is
304 the standardized residual of the ANN model; b is the control parameter, which can
305 determined based on the requirement of the actual project, and is recommended to be
306 set as 2~3. The established models are only suitable for specific operation conditions
307 (e.g. evacuation, normal operation, and extreme working condition). As a result, the
308 ANN model should be retrained when the operation condition is changed.

309

310 (4) The monitoring index assessment method identifies abnormalities based on the
311 comparison of the measured value and the predefined bounds. Based on empirical
312 experience, the value of a monitoring index is required to be within $[y_{\text{low}}, y_{\text{up}}]$. If
313 the present measured value is within this bound, then it is judged as normal;
314 otherwise, the measured value is judged as abnormal.

315

316 As illustrated in Figure 4, a structure safety assessment system is developed. This
317 system consists of two layers. In the first layer, risk level of a measuring point is
318 evaluated based on the assessment results of the aforementioned four abnormality
319 recognition methods (see criteria A on the left side of Table 1). In the second layer,
320 structure safety of a pipeline segment is evaluated based on the risk levels of all the
321 measuring points in that pipeline segment (see criteria B on the right side of Table 1).
322 If the pipeline safety assessment reaches “yellow” level, alarms will be issued by the
323 warning issuance module of SAWS.

324

325 5.3. SAWS

326 SAWS consists of two modules, i.e. warning issuance module and numerical
327 simulation module. The warning issuance module provides an interface to manage the
328 phone numbers and email accounts of all the participants involved in the project.
329 When warnings are issued via phone messages, the subsequent procedures will be
330 followed. First, service is called through the application programming interface (API)
331 provided by the message service provider to submit request. After the service provider

332 receives the request, the warning messages are then sent to the mobile phones of
333 related personnel through telecommunication operators.

334

335 Numerical simulation module is developed based on the ABAQUS finite element (FE)
336 analysis software. A 3D FE model of PCCP (the main structure of the project) is built
337 according to a typical cross section (see Figure 5). In the established model,
338 Mohr-Coulomb model, plastic damage model, and 3D linear elastic model are
339 respectively used to simulate soils (including foundation layer, cushion layer, and
340 backfill soils), tube core concrete and mortar layer, and steel cylinder and steel bars.
341 In order to realistically simulate the present condition of PCCP, the load information
342 (internal and external water pressure) reflected by the monitoring data and the
343 material mechanical properties are mapped into the FE model after considering the
344 time-varying effects. To this end, all the elements are classified according to material
345 types to make it convenient to modify material parameters based on the established
346 degradation model of material properties. The PCCP FE model needs to be uploaded
347 to the database in advance, and C#.NET and Python language are used to map the
348 real-time material parameters and load information to the elements.

349

350 *5.4. 3DMS*

351 Safety monitoring in the project requires multi-source information including
352 monitoring data, inspection photos, and warning messages. This rich information
353 needs to be embedded in a spatial context to provide meaningful guidance for the
354 pipeline operation. 3DMS integrates 3D models, aerial photos, street view, and other
355 spatial data to construct a 3D virtual scene for the water supply project, with which

356 the safety monitoring information is dynamically coupled. This integrated system
357 realizes the 3D visualization management of safety monitoring and makes it possible
358 for engineers to analyze in-situ environments online.

359

360 *5.4.1. Integration of multi-source spatial information and cross-platform retrieval of*
361 *street view*

362 Figure 6 illustrates the integration of various elements on a 3D GIS platform to
363 construct a 3D virtual scene. First, the aerial photos are overlaid with Digital
364 Elevation Model (DEM) to build the ground surface model for the project site.
365 Second, the vector data including the transportation network and typical landmarks
366 are overlaid with the aerial photos to indicate the geographical locations. Third, the
367 3D models (e.g. pipelines, monitoring station, and monitoring instruments) are
368 exported from 3D Max and imported to the 3D GIS platform using the WGS-84
369 coordinate system. The layer of warning symbol (exclamation mark with different
370 color to indicate different level of risk) is above the monitoring instruments (listed in
371 the bottom right table in Figure 6) to indicate the abnormal positions along a pipeline
372 segment. The street view along the pipeline segment is published through a third-party
373 software. Clicking the video symbol located above the pipeline will provide users
374 access to the street view, thus realizing the interaction and linkage between the street
375 view and the 3D virtual scene. All the elements are integrated on the virtual globe that
376 is defined on the platform.

377

378 In this project, data collection and publication of street view are accomplished by the
379 third party. Before data collection, a route is designed according to general layout of

380 the project. Then, along the designed route, photos of each station are captured by
381 professionals using specialized collecting devices. The integration of 3D GIS platform
382 and the street view platform needs to accomplish the following functionalities: (1)
383 implant the street view into the 3D GIS platform, integrating the virtual scene of
384 pipeline safety monitoring with street view in one screen; (2) retrieve the street view
385 at the indicated position by evoking the associated function in the 3D GIS platform;
386 (3) automatically roam in the virtual 3D scene by switching from the street view.

387

388 Both the street view platform and the 3D GIS platform adopt the technological
389 framework of Web. The user interface and the specific logic are separate, and they
390 provide Javascript API for secondary development. Hence, the independence of the
391 data layer and application layer are preserved in the integration (as shown in Figure
392 7). The open-source HTML page is coded using Document Object Model (DOM) to
393 implant the street view in the 3D GIS platform page through the HTML <iframe>
394 label. In addition, the data communication is also realized between parent and child
395 pages. When users click a certain feature point in the 3D scene, the click event will
396 evoke the function to obtain the coordinates of that point. The obtained coordinates, as
397 a parameter, will then be input to a specific function provided by the API of the street
398 view platform to retrieve the street view at the indicated point. Using the same
399 method, the switching from street view to 3D virtual scene can be realized.

400

401 *5.4.2. Dynamic integration of safety monitoring information*

402 To manage the safety monitoring information, SQL Server is adopted as the database
403 platform. The photos and 3D models are stored in a file format, while the database

404 only stores the file path. Figure 8 presents some of the database tables and their
405 connections. The original monitoring data, in-situ photos, and warning messages are
406 all linked to the instrument models by the instrument ID (Instrument_ID), and
407 subsequently linked to the coordinate information. All the above safety monitoring
408 information can be regarded as the attribute data of the instrument models, thus
409 establishing the connection to spatial coordinate data. As a result, all of the safety
410 monitoring information can be spatially located in the 3D virtual scene.

411

412 **6. System Application**

413 The developed system has been operated since Dec. 2015. In normal operation,
414 monitoring data is updated via the developed interface at 6 a.m. every day. Then, the
415 updated data is automatically analyzed. Up to now, the safety assessment results were
416 mostly green or blue, implying that the operation of pipeline was in normal condition.
417 From January 17 to 19, 2016, the system issued three warnings. The highest warning
418 level was red (as shown in Figure 9(a)). Upon the receipt of the warnings, 3DMS was
419 used to locate the warning position (seen in Figure 9(b)). Through the street view
420 interactive browsing, surrounding environment of one of the warning positions was
421 analyzed online. That position has a wide landscape and is close to the main road and
422 inspection manhole (as shown in Figure 9(c)). All these factors are in favor of the
423 execution of on-site inspection and restoration.

424

425 Given that the duration of warning issuance coincided with that of trial operation, it
426 was assumed that the alarms were caused by the sharp rise of internal water pressure
427 as a result of diverting water. To verify this assumption and assess the pipeline safety

428 condition during trial operation, decision-support functions of the system were used to
429 conduct analysis. Figure 10(a) shows that the listed three monitoring points of internal
430 water pressure had the similar trends. There were two peaks from Jan.18, 8:00 to
431 20:00 and from Jan. 19 8:00 to 20:00, which corresponded to the actual period of
432 diverting water. Figure 10(b) shows the water head along the pipeline. There are 4
433 lines in the diagram. The max head and the min head were respectively generated
434 according to the maximum and the minimum of all the water pressure monitoring
435 points along the pipeline on that day. The long-term head and the short-term head
436 respectively indicate the theoretical water head under long-term supply flow and
437 short-term supply flow. As can be seen from Figure 10(b), the water pressure along
438 the pipeline corresponded to the theoretical values, indicating that the operation of the
439 pipeline after diverting water was in a normal condition. Numerical simulation
440 module of SAWS was used to evaluate the stress during the trial operation. Figure
441 10(c) presents some numerical simulation results. The unit of the stress cloud image is
442 Pa. The loads on the water pipeline were mainly compressive stress. The largest
443 compressive and tensile stress satisfied the requirement of PCCP pipeline.

444

445 Based on the analyses, it was confirmed that the issued warnings were caused by the
446 sharp rise of internal water pressure as a result of diverting water. The analysis results
447 of water head and numerical simulation demonstrated the good operation condition of
448 the pipelines. Consequently, the warnings were canceled by the competent
449 department.

450

451 **7. Results analysis**

452 *7.1. Warning analysis*

453 To validate the efficacy of the system, a survey was conducted to examine whether
454 the warnings issued by the system can reflect the realistic pipeline conditions. By
455 in-situ investigations, the reasons of warnings were investigated and then compared
456 with the results of system assessment (see Table 2). As listed in Table 2, the warnings
457 can be divided into three categories based on their inducements, i.e. warnings induced
458 by operation adjustment, warnings induced by instrument failure, and warnings
459 induced by structural issues. The frequencies and typical cases for each type of the
460 warnings have also been presented in Table 2.

461

462 From Table 2, it was found that:

- 463 (1) The system can identify various kinds of data abnormalities induced by different
464 factors (e.g. operation adjustment, instrument failure, and structural issues), and
465 issue the relevant levels of warnings.
- 466 (2) Up to now, the most frequent warnings were those induced by operation
467 adjustment, which probably were due to the frequent trial test in the early stage of
468 operation.
- 469 (3) Different kinds of warnings are characterized by different patterns: warnings
470 induced by the operation adjustment usually occur on several pipeline segments at
471 the same time; warnings induced by instrument failure occur on individual
472 monitoring points, and the corresponding risk levels are relatively low; warnings
473 induced by structural issues usually occur on several monitoring points at the
474 same time, and the abnormal points are generally located at the adjacent
475 monitoring sections.

476 *7.2. Precision of neural network model*

477 In this section, joint meter JT-1-J1 and strain gauge JT-4-SP1 were taken as examples
478 to demonstrate the ANN training process and validate the precision of the model. As
479 illustrated by Figure 11, the inputs of the model include the crack (or strain) during
480 the last d sampling periods, present internal water pressure, external water pressure,
481 and settlement. The output is the present crack (or strain). Figure 12 shows the data
482 graphs of JT-1-J1, JT-4-SP1, and the corresponding external loads between Dec.
483 /1st/2016 and Jan. /31st/2017.

484

485 The data between Dec. /1st/2016 and Jan. /20th/2017 (normal operation with the flow
486 of 7m³/s) was used as training samples (totally 51 groups). The network parameters
487 (d , the number of neurons of the hidden layer, and the transfer function) are adjusted
488 to optimize the model. For JT-1-J1, when d , the number of neurons of the hidden
489 layer, and the transfer function are respectively set as 2, 12, and tansig, the optimum
490 model is obtained (with the Mean Squared Error (MSE) of 7.22×10^{-4}), as shown on
491 the left side of Figure 13 (a). For JT-4-SP1, the optimal parameter values are 3, 10 and
492 tansig, upon which the MSE of the model is 1.52×10^{-3} , as shown on the left side of
493 Figure 13 (b).

494

495 The data between Jan. /21st/2017 and Jan. /31st/2017 (working condition ditto) was
496 used as testing samples (totally 11 groups). The results are presented on the right side
497 of Figure 13. As shown by Figure 13, the predictive values match well with the
498 measured values. The maximum relative errors of prediction for JT-1-J1 and
499 JT-4-SP1 are both less than 1.0%, which indicates a high accuracy of prediction.

500

501 **8. Conclusions and Future Works**

502 Current practice for safety management in water diversion projects suffers from both
503 technical and managerial limitations. To address the limitations, this study proposes to
504 adopt 3D GIS, street view, data mining and numerical simulation, to integrate data
505 collection, data analysis, warning issuance and decision-making support into a holistic
506 framework for safety management of water diversion projects. This proposed
507 framework streamlines the whole management process and improves the efficiency of
508 emergency response. To implement the proposed framework, a system prototype was
509 developed and implemented in a water supply project located in Tianjin, China. The
510 system operates well up to now, which can automatically evaluate the pipeline safety
511 condition and issue warning messages. The system also provides a decision-support
512 platform with comprehensive functions after a warning is issued. The application
513 study suggests that the prototype system has achieved the expected requirements, thus
514 validating the efficacy of the proposed framework.

515

516 The long-term performance of this developed system under different working
517 conditions needs further observation. Moreover, although the system has the
518 visualization capability to locate warning position and analyze in-situ environment, it
519 is still difficult for engineers to determine the location of potential safety issues during
520 on-site inspection. In future works, the augmented reality (AR) technology is expected
521 to address the limitation. Using AR in mobile devices or using the specialized device
522 such as Hololens, the virtual scene of pipeline layout and the safety assessment results

523 can be embedded into the real environment, thus helping the engineers to determine
524 the alarm position.

525

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530

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661 **Tables**

662 **Tab. 1.** Pipeline safety assessment criteria.

First layer - Abnormalities Recognition		Second layer- Pipeline Safety Assessment		
Risk level	Criteria – A	Risk level	Criteria – B	Warning? (Y/N)
Green	Recognized ‘normal’ by all the 4 methods	Green	With no measuring point over ‘blue’ level	N
Blue	Recognized ‘abnormal’ by 1 of the 4 methods	Blue	With 1 measuring point reaching ‘yellow’ level	N
Yellow	Recognized ‘abnormal’ by 2 of the 4 methods	Yellow	With more than 3 measuring points reaching ‘yellow’ level, or with 1 measuring point reaching ‘orange’ level	Y
Orange	Recognized ‘abnormal’ by 3 of the 4 methods	Orange	With more than 3 measuring points reaching ‘orange’ level, or with 1 measuring point reaching ‘red’ level	Y
Red	Recognized ‘abnormal’ by all of the 4 methods	Red	With more than 3 measuring points reaching ‘red’ level	Y

663

664

665 **Tab. 2.** Warnings issued during the system application.

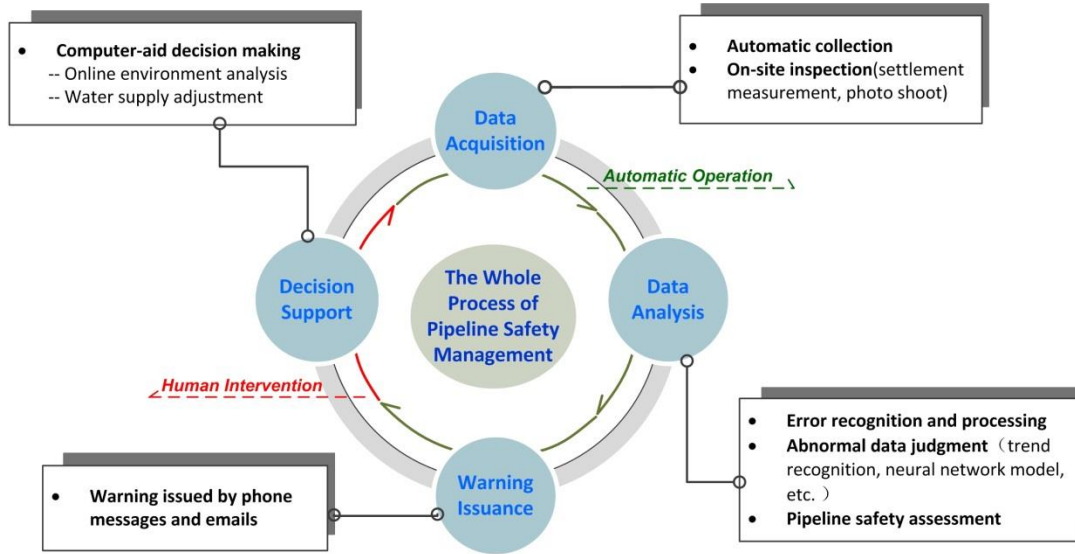
Type	Number of times	Typical case									
		Time and location	Risk level of pipeline	Monitoring point	Method 1#	Method 2#	Method 3#	Method 4#	Risk level of Monitoring point	Reason description	
Warnings induced by operation adjustment	7	01/18/2016 Segment. JS	Red	JS-1-J1	√	×	×	√	Yellow		
				JS-2-J3	×	×	×	√	Orange	Sharp rise of internal water pressure during trial operation	
				JS-3-SP1	×	×	×	×	Red		
				JS-3-SP2	×	×	×	×	Red		
				JS-3-PI	×	×	×	×	Red		
	01/18/2016 Segment. F1	Orange	F1-1-J1	√	×	×	√	Yellow	Sharp rise of internal water pressure during trial operation		
			F1-2-PI	×	×	×	×	Red			
			F1-3-J3	×	×	×	√	Orange			
	Warnings induced by instrument failure	3	03/20/2016 Segment. F1	Yellow	F1-1-J1	√	×	×	×	Orange	Instrument fault with joint meter F1-1-J1
					F1-1-J2	√	√	√	√	Green	
F1-2-P					√	√	√	√	Green		
Warnings induced by structural issues	2	12/11/2016 Segment. JG	Orange	JG-1-J1	√	×	×	×	Orange	Leakage in the joint between steel pipe and PCCP	
				JG-1-J2	√	×	×	√	Yellow		
				JG-2-J3	×	×	×	×	Red		
				JG-2-J4	×	×	×	√	Orange		
				JG-2-PI	√	×	×	√	Yellow		
	03/05/2017 Segment. JL	Orange	JL-5-J5	√	×	×	×	Orange	Leakage in the joint between steel pipe and PCCP		
			JL-5-J6	√	√	×	√	Blue			
			JL-5-P3	×	×	×	√	Orange			
			JL-6-J7	×	×	×	√	Orange			
			JL-6-J8	√	×	×	√	Yellow			

666 Notes: 1. Method 1# ~ Method 4# separately represent the aforementioned 4 abnormalities recognition methods (i.e. trend recognition, extreme value
667 recognition, neural network model, and monitoring index assessment).

668 2. The symbol “√” refers to normal while “×” refers to abnormal; the risk level is obtained according to the criteria in Table 1.

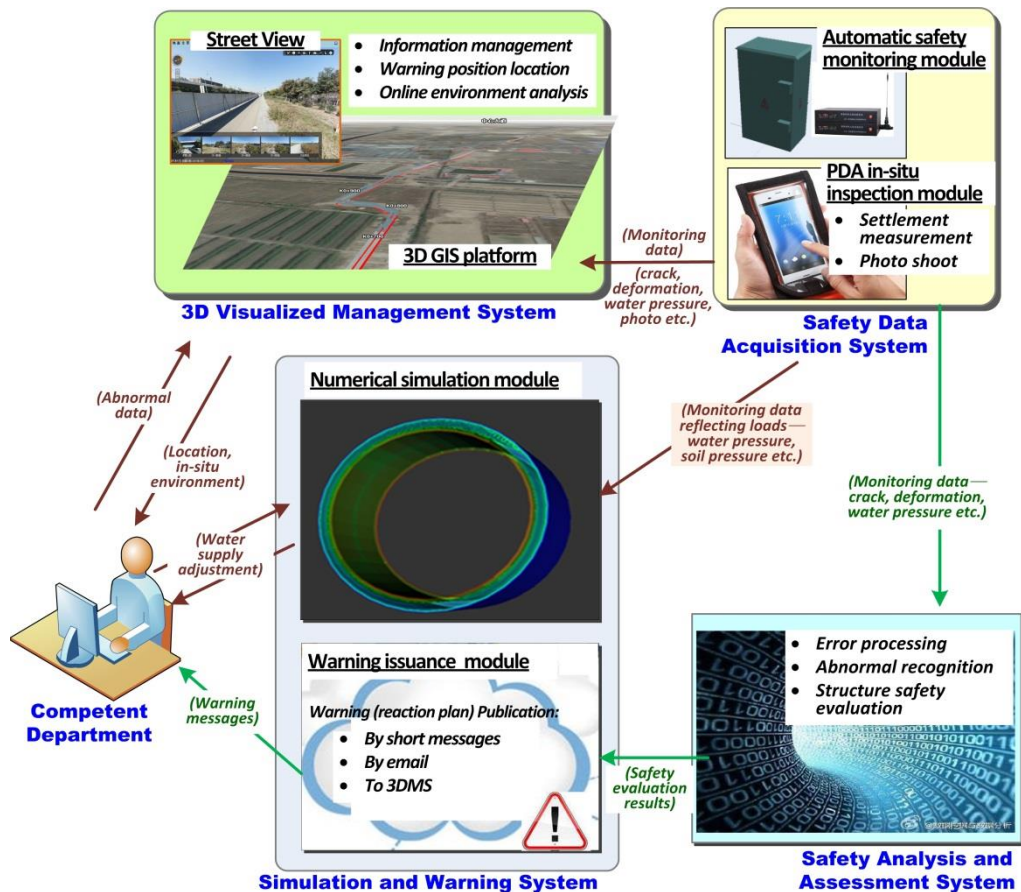
669 3. The monitoring points can be described as “segment - section - instrument”, and each type of the instruments is denoted by certain characters: joint
670 meter - J, strain gauge - SP, external water pressure meter - P, internal water pressure meter - PI.

671 **Figures**



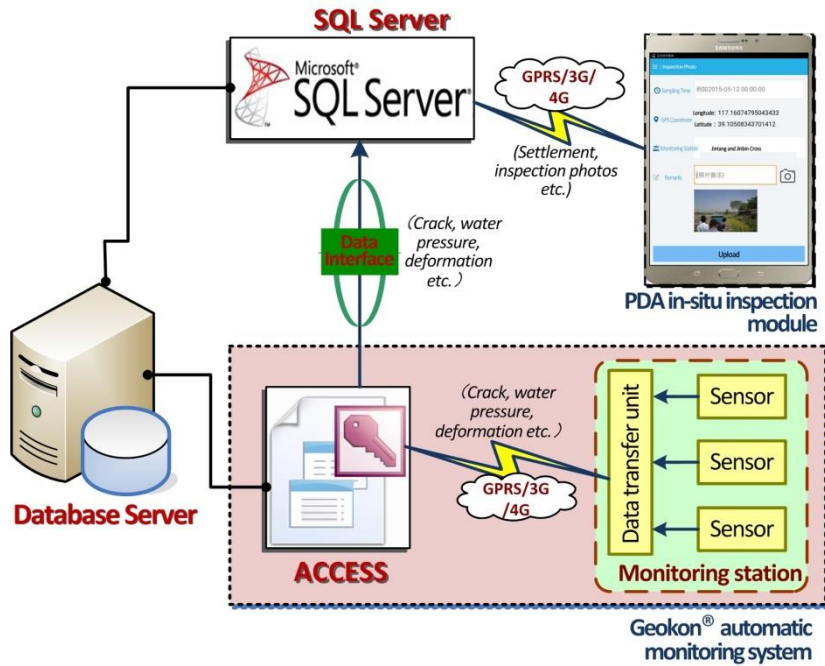
672

673 **Fig. 1.** Flowchart of whole-process pipeline safety management.



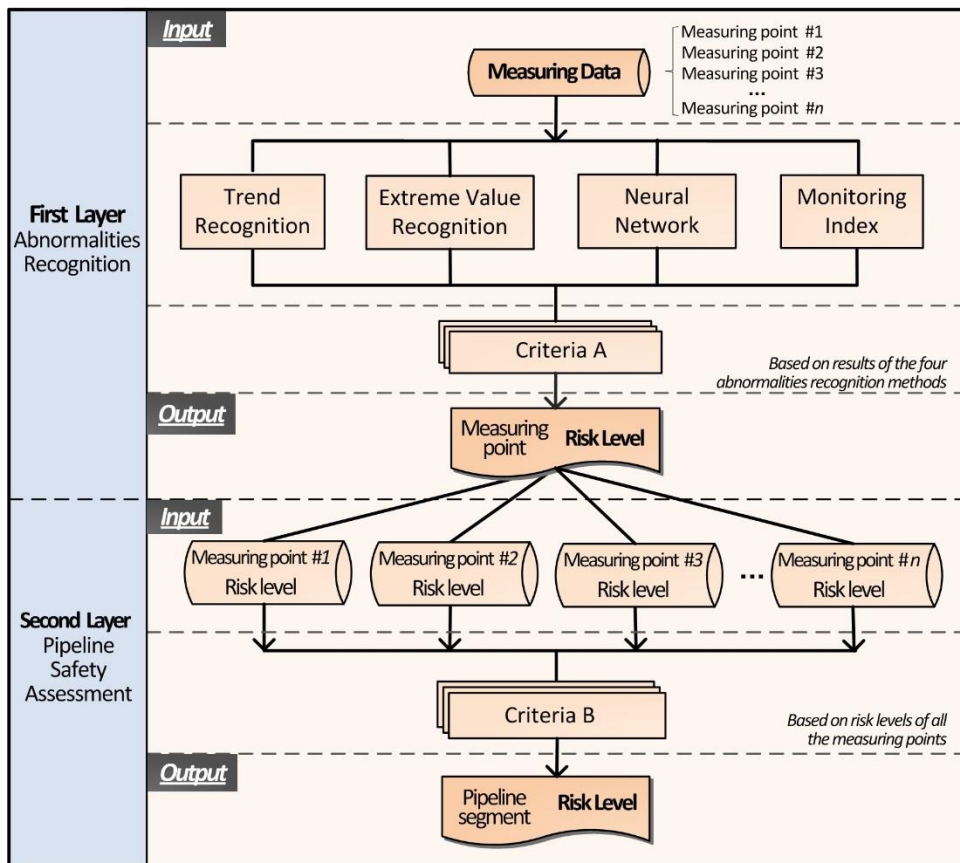
674

675 **Fig. 2.** Architecture of the proposed framework.



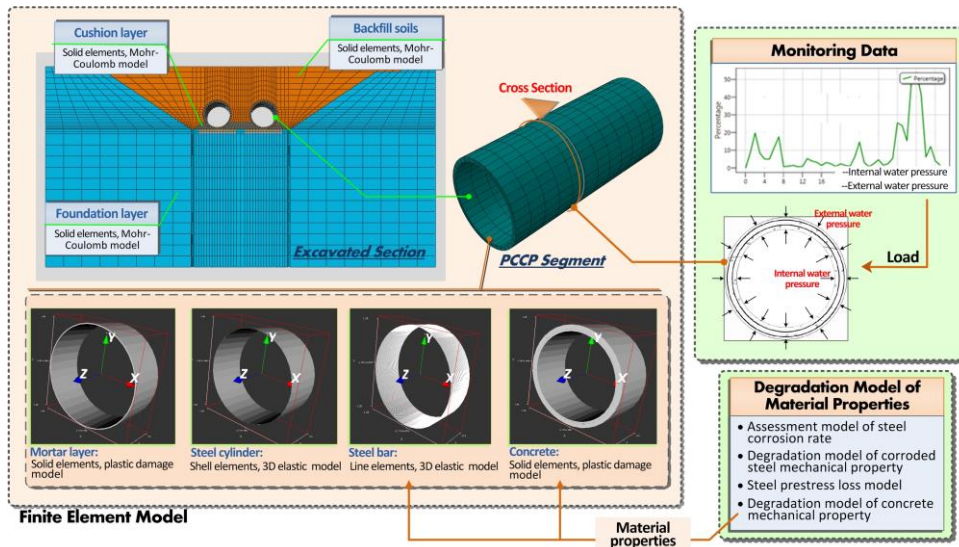
676

677 **Fig. 3.** Technical route of safety monitoring data integration.



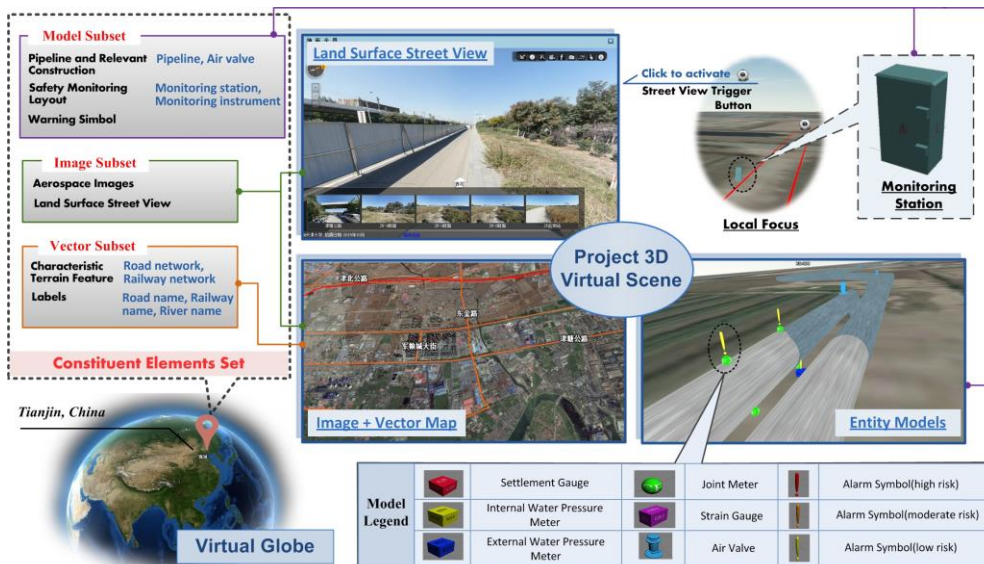
678

679 **Fig. 4.** Safety assessment system of water diversion projects.



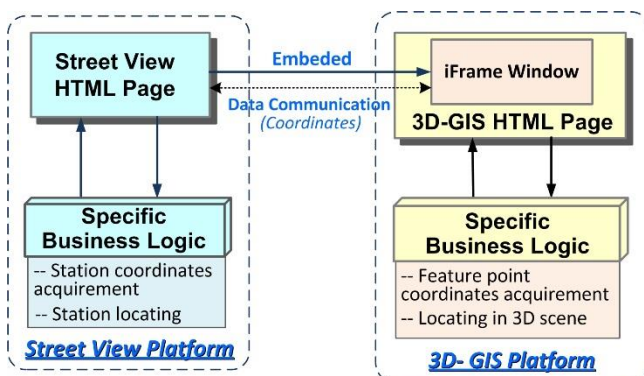
680

681 **Fig. 5.** 3D finite element model of PCCP.



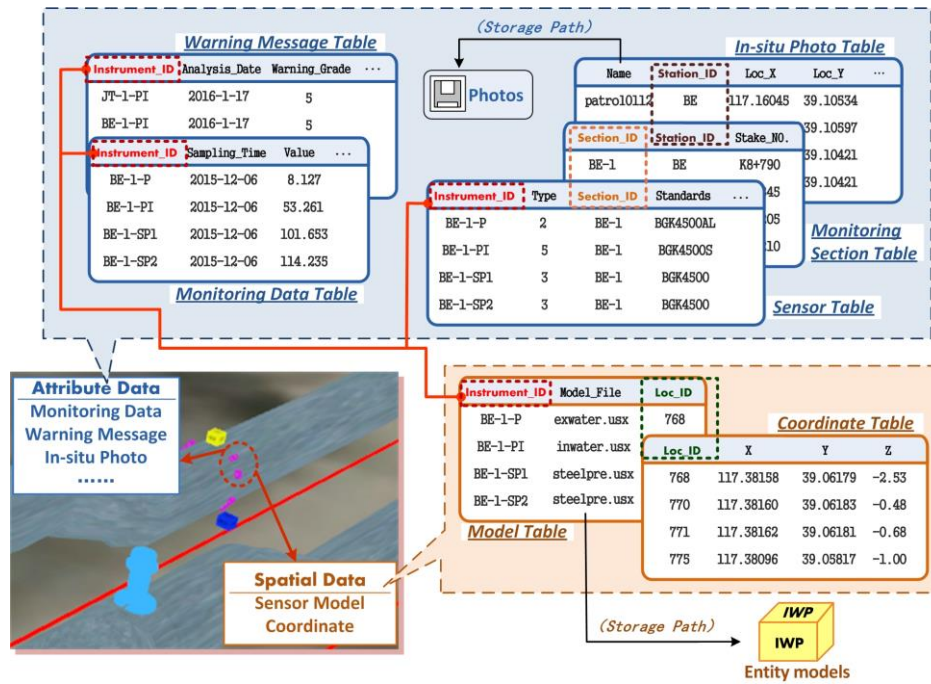
682

683 **Fig. 6.** Organization of multi-source information for safety monitoring.



684

685 **Fig. 7.** Cross platform integration of street view.



686

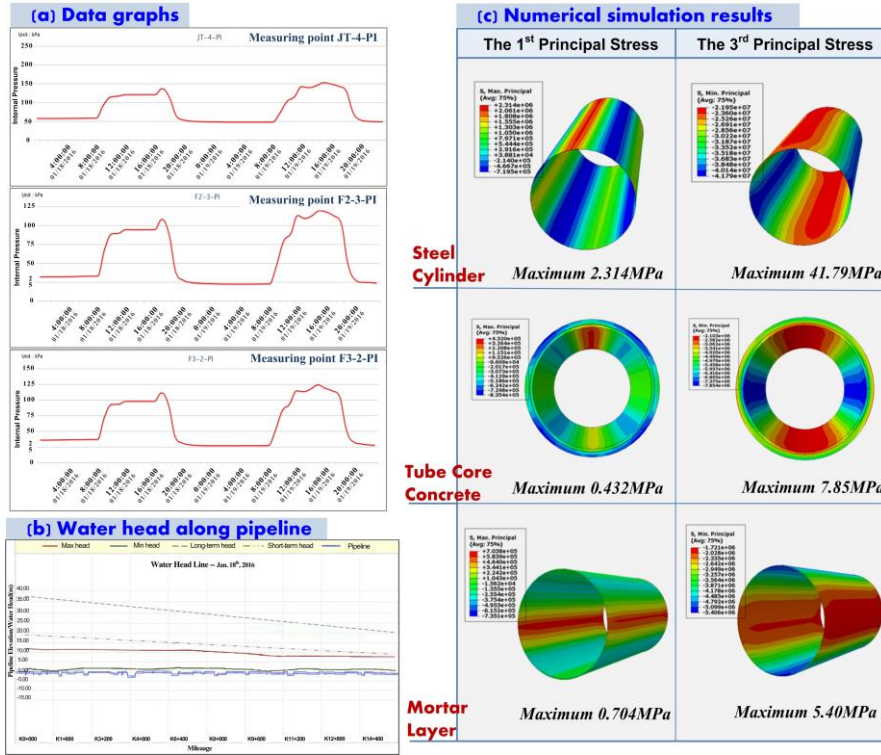
687 **Fig. 8.** Diagram of dynamic integration of safety monitoring information.



688

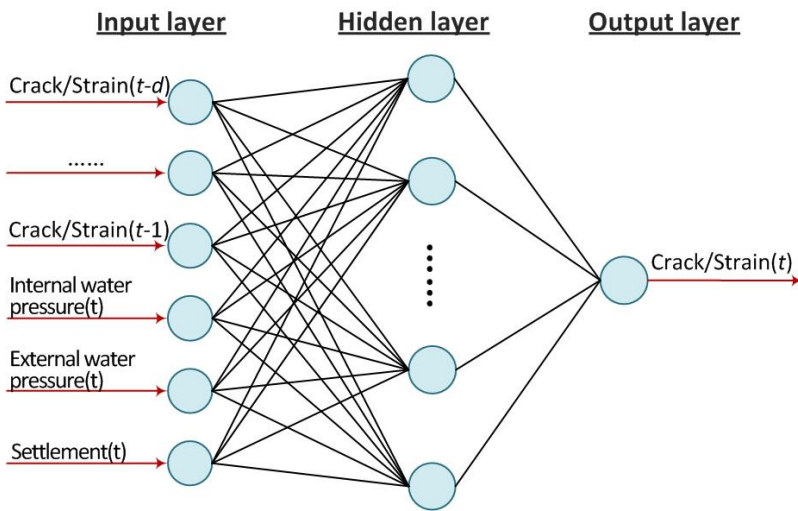
689 **Fig. 9.** (a) Warning message on mobile phone; (b) Warning issuance on 3D GIS

690 platform; (c) Surrounding environment of one warning position.



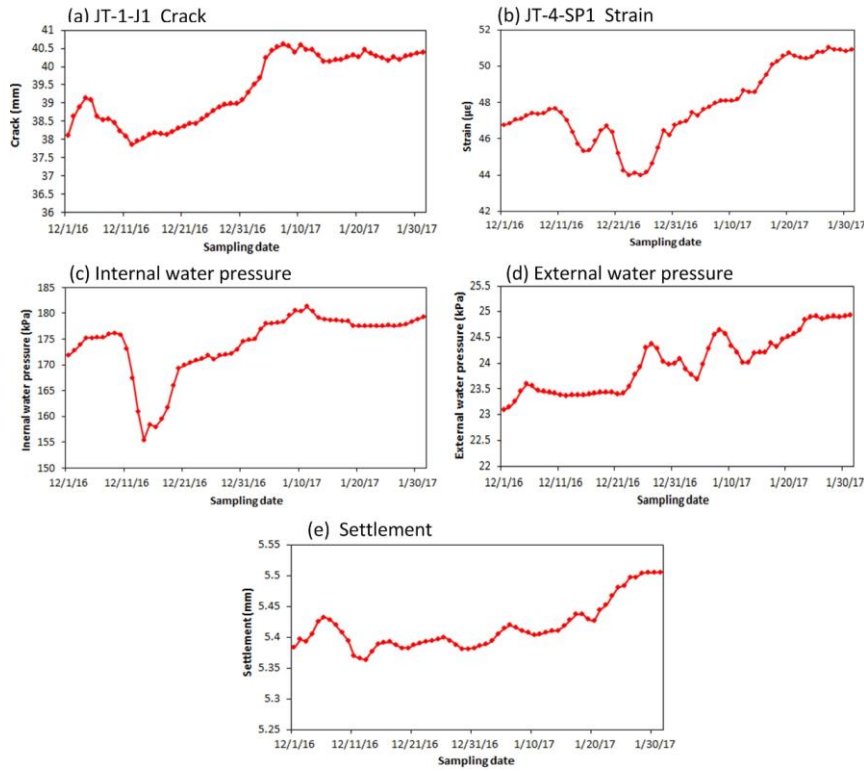
691

692 **Fig. 10.** Safety analysis during trial operation.



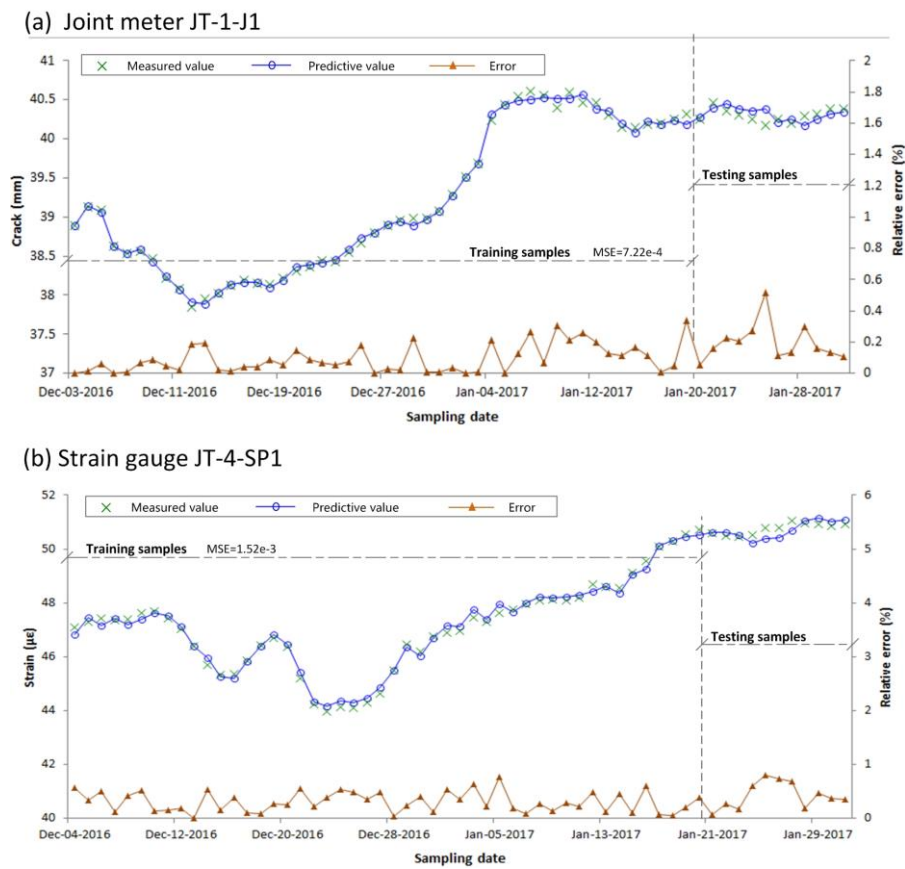
693

694 **Fig. 11.** ANN models for JT-1-J1 and JT-4-SP1.



695

696 **Fig. 12.** Data graphs of selected monitoring points and external loads.



697

698 **Fig. 13.** Precision analysis of the established neural network model.